



SHIV AUM STEELS
LIMITED

Date: 29.09.2020

To,

The Manager,
Listing Department,
National Stock Exchange Of India Limited,
'Exchange Plaza', C-1, Block 'G',
Bandra Kurla Complex, Bandra (E),
Mumbai – 400051

Subject: Submission of Scrutinizers' Report for the AGM held on 28th September, 2020

Ref: Symbol – SHIVAUM

Dear Sir,

We enclose herewith the Scrutinizer's Report dated September 29, 2020 received from Sandeep Dubey & Associates, Practicing Company Secretaries, Mumbai on the result of the voting through electronic system in respect of all resolutions set out in the Notice of 1st Annual General Meeting (post-IPO) of Shiv Aum Steels Limited.

You are requested to take the above on record.

Thanking You,

Yours faithfully,

For SHIV AUM STEELS LIMITED,

Krishna N



KRISHNA N MEHTA
WHOLE-TIME DIRECTOR
DIN: 03581129

GSTIN : 27AAFCS9987G1ZL

CIN NO : L27105MH2002PLC135117



SANDEEP DUBEY & ASSOCIATES

(PRACTICING COMPANY SECRETARIES)

Office Address : Unite No. 525, The Sumit - Business Bay, Behind Gurunanak Petrol Pump, Near W.R Highway Metro & Cinemax, Andheri East, Mumbai – 400069.

MOB. No. 98201513552 / 9819992728 Email : cs.sandeepdubey@gmail.com

Consolidated Scrutinizer's Report

Pursuant to Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 as amended and Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015) and Secretarial Standard on General Meetings issued by the Institute of Company Secretaries of India].

To,

The Chairman of 01st Annual General Meeting (Post IPO) of the Equity Shareholders of **M/s. Shiv Aum Steels Limited** held on Monday, September 28, 2020 at 04:00 P.M. through Video Conferencing ("VC") / Other Audio Visual Means ("OAVM").

1. I, Sandeep Dubey, Company Secretary in practice and Partner, M/s. Sandeep Dubey & Associates, Practicing Company Secretaries, appointed as Scrutinizer of **M/s. Shiv Aum Steels Limited** ("the Company") for the purpose of scrutinizing the remote e-voting process and voting through video conferencing ("VC")/ other Audio Visual means ("OAVM") on the resolutions contained in the notice dated 20th August 2020 ("Notice") calling 01st Annual General Meeting (Post IPO) of its Equity Shareholders ("the Meeting" /"AGM"). The AGM was convened on Monday, September 28, 2020 at 04:00 P.M. through Video Conferencing ("VC") / Other Audio-Visual means ("OAVM"). The said appointment as scrutinizer is under the provisions of Section 108 of the Companies Act, 2013 ("the 2013 Act") read with Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended ("the Rules").

2. In view of situation arising due to COVID-19 global pandemic, the 28th AGM was conducted as per the guidelines issued by Ministry of Corporate Affairs ("MCA") vide General Circular No. 4/2020 dated April 8, 2020, General Circular No. 17 /2020 dated April 13, 2020 and General Circular No. 20/2020 dated May 5, 2020.

3. The management of the Company is responsible to ensure the compliances with the requirements of the Companies Act, 2013 and rules relating to remote e-voting and through Video Conferencing ("VC") / Other Audio-Visual means ("OAVM") for voting on the resolutions contained in the said notice of 01st AGM of the members of the Company. My responsibility as Scrutinizer for the remote e-voting and through Video Conferencing ("VC") / Other Audio-Visual means ("OAVM") at the 01st AGM is restricted to make the Scrutinizer's Report on the votes cast "in favour" or "against" the resolutions stated in the said notice based on the Report generated from the e-voting system provided by the Central Depository Services (India) Limited (CDSL), the agency engaged by the Company to provide e-voting facility and through Video Conferencing ("VC") / Other Audio-Visual means ("OAVM") at the 01st AGM (Post IPO).

4. The Notice dated 20th August, 2020 along with the statement setting out material facts under Section 102 of the Act was sent to the members through email on 5th September, 2020. The said notice was dispatched on the basis of Register of Members and List of Beneficiaries as provided by the depositories on 3rd September, 2020, being the cut-off date for dispatch of Notice to the members.
5. As per the provisions of Rule 20 of the Companies(Management and Administration) Rules, 2014, the Company has published advertisement about having dispatched the notice of the meeting and providing e-voting facility in the English newspaper "Financial Express" and Marathi newspaper "Mumbai Lakshadeep" on 6th September, 2020.
6. The Voting rights of members were considered in proportion to their share in the paid-up equity share capital of the Company as on cut-off date i.e. Thursday, 24th September 2020.
7. In terms of the aforesaid Notice, voting through electronic means was kept open for 3(three) days i.e. from Friday, 25th September 2020 (from 10:00 A.M.) to Sunday, 27th September, 2020 (upto 5:00 P.M.). The members cast their votes electronically on e-voting platform provided by the Central Depository Services (India) Limited (CDSL) on the designated website www.evotingindia.com.
8. As required under the said rules, after the closure of the voting at the Annual General Meeting, the votes cast through Video Conferencing ("VC") / Other Audio-Visual means ("OAVM") were counted, thereafter the votes cast under remote e-voting facility provided by CDSL were unblocked on 28th September, 2020 after the conclusion of AGM in the presence of Mr. Sandeep Dubey (Scrutinizer).
9. The Company had also provided the facility of e-voting during the AGM and till 15 minutes from conclusion of the AGM by VC/ OA VM for the Members who had not cast their vote by remote e-voting on the designated website www.evotingindia.com.
10. The votes cast under remote e-voting and the votes cast through Video Conferencing ("VC") / Other Audio-Visual means ("OAVM") were reconciled with the records maintained by the Company/Registrar and Transfer Agents of the Company and the authorizations/ proxies lodged with the Company.
11. After the closure of e-voting at the AGM, the votes cast through e-voting at the AGM and through remote e-voting prior to the date of AGM were unblocked and downloaded from the e-voting website of CDSL (www.evotingindia.com). The e-voting data/ results downloaded from e-voting system of CDSL were scrutinized and reviewed, the votes were counted, and the results were prepared.

Item No. of the Notice	No. of members vote cast through E-Voting.	Votes in favour of the Resolution		Votes against the Resolutions		Invalid Votes
		Nos.	% of total number of valid votes (Favour and Against)	Nos.	% of total number of valid votes (Favour and Against)	Nos.
Resolution No. 1 Ordinary Resolution for consideration and adoption of the Audited Financial Statements of the Company for the financial year ended 31 st March, 2020 together with Reports of the Board of Directors and the Auditors' thereon;	15	10000400	100	0	0	0
Resolution No. 2 Ordinary Resolution To appoint a Director in place of Mr. Ajay Narendra Bansal (DIN: 00365449) and Mr. Rishabh J Mehta (DIN: 03024717) who retire by rotation and being eligible, offer themselves for reappointment.	15	10000400	100	0	0	0
Resolution No. 3 Special Resolution for Approval to deliver document through a particular mode as may be sought by the member.	15	10000400	100	0	0	0

Result: All the resolutions are passed by requisite majority. Further I observed that there is no invalid vote and vote cast against any resolution.

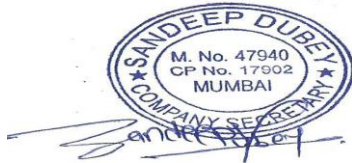
The Register, all other papers and relevant records relating to e-voting shall remain in my safe custody until the Chairman considers, approves and signs the minutes of the aforesaid meeting and the same will be handed over to the Company for safe keeping.

This report has been issued at the request of the Company for (i) submission to Stock Exchanges, (ii) to be placed on website of the Company and (iii) website of CDSL. This report is not to be used for any other purpose or to be distributed by the Company to any other parties. Accordingly, I do not accept or assume any liability or any duty of care or for any other purpose or to any other party to whom it is shown or into whose hands it may come without my prior consent in writing.

Thanking You,

Yours faithfully,

**For SANDEEP DUBEY & ASSOCIATES
(Practising Company Secretary)**



Sandeep A Dubey

M. No. - 47940

C.P. No. -17902

Place: Mumbai

Date: 29th September, 2020

UDIN: A047940B000804102